

UNITED STATES DEPARTMENT OF COMMERCE **Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NO. HILT HEFIRST NAMED INVENTOR ATTORNEY DOCKET NO. 09/100,6

HM12/0823

JOHN R STORELLA TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER 8TH FLOOR SAN FRANCISCO CA 94111-3834

WESSENEXAMINERT

ARTEUNST PAPER NUMBER

08/23/9

DATE MAILED:

Please find below and/or attached an Office communication concerning this application r proceeding.

Commissioner of Patents and Trademarks

BEST AVAILABLE COPY

PTO-90C (Rev. 2/95)

1- File Copy

Office .	Action	Summary
----------	--------	---------

Application No. 09/100,633 Applicant(s)

Hutchens et al

Examiner

T. Wessendorf

Group Art Unit 1618

This action is FINAL. Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Duayle, 1935 C.D. 11; 453 O.G. 213. A shortened statutory period for response to this action is set to expire month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a). Disposition of Claims X Claim(s)	Responsive to communication(s) filed on	·
in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11; 453 O.G. 213. A shortened statutory period for response to this action is set to expire 1 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a). Disposition of Claims Sizer pending in the application. Is/are pending in the application. Is/are pending in the application. Is/are withdrawn from consideration. Is/are allowed. Is/are allowed. Is/are allowed. Is/are rejected. Is/are objected to. Is/are objected to to the tatached Notice of Draftsperson's Patent Drawing Review, PTO-948. The drawing(s) filled on Is/are objected to by the Examiner. The proposed drawing correction, filled on Is/are objected to by the Examiner. The oath or declaration is objected to by the Examiner. The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a). Certified copies not received. Received. Received in Application No. (Series Code/Serial Number) Received in this national stage application from the International Bureau (PCT Rule 17.2(a)). Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(a). Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(a). Notice of Draftspersor's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152. Notice of Informal Patent Application.	☐ This action is FINAL .	
is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a). Disposition of Claims Claim(s)		
Sclaim(s) 1-35 is/are pending in the application. Of the above, claim(s) is/are withdrawn from consideration. Claim(s) is/are allowed. Claim(s) is/are rejected. Claim(s) is/are objected to. Claim(s) is/are objected to restriction or election requirement. Application Papers See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948. The drawing(s) filed on is/are objected to by the Examiner. The proposed drawing correction, filed on is/are objected to by the Examiner. The path or declaration is objected to by the Examiner. The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). All	is longer, from the mailing date of this communication. Failure application to become abandoned. (35 U.S.C. § 133). Extens	to respond within the period for response will cause the
Of the above, claim(s)	Disposition of Claims	
Claim(s)	X Claim(s) <u>1-35</u>	is/are pending in the application.
Claim(s)	Of the above, claim(s)	is/are withdrawn from consideration.
Claim(s)	☐ Claim(s)	is/are allowed.
Claim(s)		
Application Papers See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948. The drawing(s) filed on is/are objected to by the Examiner. The proposed drawing correction, filed on is_approveddisapproved. The specification is objected to by the Examiner. The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). AllSome*None of the CERTIFIED copies of the priority documents have been received. Treceived in Application No. (Series Code/Serial Number) *Certified copies not received: *Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s) Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152		
□ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948. □ The drawing(s) filed on		
The drawing(s) filed on is/are objected to by the Examiner. The proposed drawing correction, filed on is disapproved. The specification is objected to by the Examiner. The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). All	Application Papers	
The proposed drawing correction, filed on	☐ See the attached Notice of Draftsperson's Patent Drawin	ng Review, PTO-948.
The specification is objected to by the Examiner. The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). All Some* None of the CERTIFIED copies of the priority documents have been received. received in Application No. (Series Code/Serial Number) received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152—	☐ The drawing(s) filed on is/are object	cted to by the Examiner.
The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119	☐ The proposed drawing correction, filed on	isapproveddisapproved.
Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). All Some* None of the CERTIFIED copies of the priority documents have been received. received in Application No. (Series Code/Serial Number) received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152-	$\hfill\Box$ The specification is objected to by the Examiner.	
Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). All	$\hfill\Box$ The oath or declaration is objected to by the Examiner.	
□ All □ Some* □ None of the CERTIFIED copies of the priority documents have been □ received. □ received in Application No. (Series Code/Serial Number) □ received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: ☑ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) □ Notice of References Cited, PTO-892 □ Information Disclosure Statement(s), PTO-1449, Paper No(s). □ Interview Summary, PTO-413 □ Notice of Draftsperson's Patent Drawing Review, PTO-948 □ Notice of Informal Patent Application, PTO-152	Priority under 35 U.S.C. § 119	
□ received. □ received in Application No. (Series Code/Serial Number) □ received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: □ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) □ Notice of References Cited, PTO-892 □ Information Disclosure Statement(s), PTO-1449, Paper No(s). □ Interview Summary, PTO-413 □ Notice of Draftsperson's Patent Drawing Review, PTO-948 □ Notice of Informal Patent Application, PTO-152————————————————————————————————————	☐ Acknowledgement is made of a claim for foreign priority	under 35 U.S.C. § 119(a)-(d).
received in Application No. (Series Code/Serial Number) received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152—	☐ All ☐ Some* ☐ None of the CERTIFIED copies of	of the priority documents have been
 □ received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: ☑ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) □ Notice of References Cited, PTO-892 □ Information Disclosure Statement(s), PTO-1449, Paper No(s). □ Interview Summary, PTO-413 □ Notice of Draftsperson's Patent Drawing Review, PTO-948 □ Notice of Informal Patent Application, PTO-152 	received.	
*Certified copies not received: Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152—		
Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152————————————————————————————————————	\square received in this national stage application from the	e International Bureau (PCT Rule 17.2(a)).
Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152————————————————————————————————————		
 Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152 	Acknowledgement is made of a claim for domestic prior	ity under 35 U.S.C. § 119(e).
 □ Information Disclosure Statement(s), PTO-1449, Paper No(s). □ Interview Summary, PTO-413 □ Notice of Draftsperson's Patent Drawing Review, PTO-948 □ Notice of Informal Patent Application, PTO-152 	Attachment(s)	
 □ Interview Summary, PTO-413 □ Notice of Draftsperson's Patent Drawing Review, PTO-948 □ Notice of Informal Patent Application, PTO-152 		
 □ Notice of Draftsperson's Patent Drawing Review, PTO-948 □ Notice of Informal Patent Application, PTO-152 		No(s)
☐ Notice of Informal Patent Application, PTO-152	·	
□ Notice of Informal Patent Application, P10-1-52		
	☐ Notice of Informal Patent Application, PTO-152————	
	SEE OFFICE ACTION ON	THE FOLLOWING PAGES

Application/Control Number: 09/100,633

Art Unit: 1618

Please Note: In an effort to enhance communication with our customers and reduce processing time, Group 1640 is running a Fax Response Pilot for Written Restriction Requirements. A dedicated Fax machine is in place to receive your responses. The Fax number is 703-305-3704. A Fax cover sheet is attached to this Office Action for your convenience. We encourage your participation in this Pilot program. If you have any questions or suggestions please contact Donald E. Adams, Ph.D., Supervisory Patent Examiner at Donald.Adams@uspto.gov or 703-308-0570. Thank you in advance for allowing us to enhance our customer service. Please limit the use of this dedicated Fax number to responses to Written Restrictions.

Election/Restriction

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claim 1, drawn to a method of identifying a ligand, classified in class 435, subclass7.1.
 - II. Claims 2-24 and 27, drawn to method of detecting genetic package and translation of polynucleotide and a substrate classified in classes 435, 436 and 536, subclasses5, 532 and 23.1, respectively.
 - III. Claims 28-29, drawn to a method classified in class 436, subclass 532.
 - IV. Claims 25-26 and 30-35 drawn to a substrate comprising a polypeptide adsorbent and a screening method for determining whether an agent modulates binding, classified in classes 435-and 536, subclasses 5 and 23.1, respectively.

Application/Control Number: 09/100,633

Art Unit: 1618

2. The inventions are distinct, each from the other because of the following reasons:

Inventions I and II-IV are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are drawn to different methods of detecting, identifying or screening different ligands or modulating agents. For example, the method recited in Group I can be used to identify ligands such as hormone, enzymes, polypeptides, contaminants etc. binding to their corresponding receptors and can be accomplished by other bacterial means or chemical means as by chromatography as evidenced by Hutchens (WO 94 28418) and not necessarily by the phage display library recited in the method of Group II. Likewise, the method of Group I is distinct from the method of Group III. The method of Group III appears to be drawn to the reusing of an analyte-adsorbent in subsequent sample analysis. Group IV screening method for an agent is drawn to a different function and operations requiring more process steps than the method of Group I which merely requires detection of binding between a ligand to its receptor.

- 3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification and because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.
- 4. A telephone call was made to J. Storella on 8/3/99 to request an oral election to the above restriction-requirement, but did not result in an election being made.

Art Unit: 1618

Applicants are advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

5. Applicants are reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(I).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to T. Wessendorf whose telephone number is (703) 3967. The examiner can normally be reached on Mon. to Fri. from 9 to 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald E. Adams, Ph.D., can be reached on (703) 308-0570. The fax phone number for this Group is (703) 308-4242.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Tdw

8/16/99

T. Welsendory Pottent Examiner